

Risk-Prioritized Assessments

	Sort	Sort		Sort	Sort	Sort	Sort	Sort		Sort							Sort							
Risk, Concern, Vulnerability, Uncertainty, Missed Opportunity	Category	Worst Case Consequence Category	Consequence #	Actions planned or underway to manage the risk or seize the opportunity	Likelihood of Action Success (Certainty)	Likelihood #	Risk Level (Numeric) (1-100)	Risk Level (Adjective)	Will Assessment Improve Likelihood of Success?	What Type of Assessment?	Recurring Assmt?	Assmt Frequency	What assessment scope would be the most beneficial in improving the certainty of success?	Do you want this assessment on the schedule for the next FY?	Assessment Title	Assessed AD(s)	Assessed Div-Grp	Assessed Point of Contact (NA for Mgt Assmts)	Estimated FY Quarter for Schedule	Estimated Field Observation Start Date (or TBD)	Assessing AD	Assessing Div-Grp	Assmt Team Lead (or TBD)	Other Participating Orgs Acting as Assessors
Integrated Work Documents (IWD) are not used consistently across the Directorate.	Worker Safety/Health	Moderate, Recoverable	8	Systematic review and revision of existing work documents to consistent IWD format.	Very unlikely	5	40	High	Yes	Management	No	One-Time Only	Identify the specific areas where inconsistencies exist	Yes	IWD Consistency	ADXXX	XX	NA	FY Q3		ADXXY	XXY	John Smith	NA
XXX Program Effectiveness	Mission/Program Performance	Minor, Recoverable	4	None	Unlikely	4	16	Moderate	Yes	Management	No	One-Time Only	Evaluate the effectiveness of the overall program, the health of the organizational interfaces, and the best practices that are currently used to further goals. This is an assessment of the concept, the process, and the implementation	Yes	XX Program Effectiveness	ADXXX	XX	NA	FY Q2		ADXXY	XXY	Mary Jones	NA
Decline in productivity due to increased flow down of operational and business practices and increase compliance requirements.	Mission/Program Performance	Moderate, Recoverable	8	Standardizing and Centralizing	Unlikely	4	32	Moderate	Yes	Management	No	One-Time Only	Evaluate the current state of productivity using the specific metrics.	Yes	Impact of operational and business practices and increased compliance requirements	ADXXX	XX	John Roberts	FY Q3		ADXXY	XXY	Robert Jones	NA
Limited ability to capture and analyze data may deprive management of operational improvement opportunities	Worker Safety/Health	Moderate, Recoverable	8	A process lead had been identified; improvement opportunities in process and software continue to be identified	Very likely	1	8	Low	Yes	Management	No	One-Time Only	Capabilities of software system for data mining; process for communicating results to management for decision-making	Yes	Data Harvesting and Analysis	DIR	XX	NA	FY Q4	8/10/2009	ADXXA	XXA	John Deere	NA

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Weak implementation of XX process may lead to inadequate conclusions regarding resolution of important issues	Worker Safety/Health	Moderate, Recoverable	8	XX infrastructure development, including documentation of process in LANL procedure; XXX-XX support Training	Likely	2	16	Moderate	Yes	Management	No	12 Months	Infrastructure development; implementation status by ADs	Yes	Maintenance and Support of XX	ALL	TBD	NA	FY Q3	5/18/2009	ADXXA	XXA	Robert Jones	NA
Poor understanding of XX process by key individuals may lead to gaps and errors	Mission/Program Performance	Moderate, Recoverable	8	continues to be delivered and updated to reflect changes	50/50	3	24	Moderate	Yes	Management	No	One-Time Only	Effectiveness of training delivery; course material content	Yes	XX Training	ALL	TBD	NA	FY Q3		ADXXA	XXA	Robert Jones	NA
Limited knowledge of how far-reaching issues are within the institution may limit management's ability to proactively correct problems	Worker Safety/Health	Minor, Recoverable	4		Unlikely	4	16	Moderate	Yes	Management	No	One-Time Only	Process for examining if issues are far-reaching within LANL	No	Extent of Condition Process Development		NA							