Department of Energy
Washington, DC 20585

May 4, 2012

Mr. Leo Sain
President and Project Manager
URS CH2M Oak Ridge, LLC
East Tennessee Technology Park
Oak Ridge, Tennessee 37831

SEL-2012-01

Dear Mr. Sain:

The Office of Health, Safety and Security's Office of Enforcement and Oversight has completed its evaluation of a security incident involving the protection and control of classified information at the East Tennessee Technology Park (ETTP) (Local Tracking System Report No. 11-IOSC-0576-13). Based on this evaluation, the Department of Energy (DOE) identified concerns that warrant management attention by URS CH2M Oak Ridge, LLC (UCOR), the responsible contractor for ETTP.

The specific concerns stem from the number of classified components that were found to be inadequately protected and controlled, as required by DOE O 471.6, *Information Security* (effective June 20, 2011) and the UCOR security plan, dated May 17, 2011. According to the final inquiry report, these noncompliant conditions existed for several weeks before UCOR project management reported the deficiencies to the UCOR security organization. UCOR's slow response to these known noncompliant conditions contributed to the potential for uncleared workers to gain unauthorized access to classified information. Furthermore, DOE finds that the associated corrective actions do not appear to address each of the underlying causes or identify an engineered solution, and may not be effective in preventing recurrence.

The Office of Enforcement and Oversight is issuing this Enforcement Letter to convey concerns with the disposition of classified information in support of ongoing decommissioning activities at ETTP. DOE believes that UCOR should examine its security program implementation with the overarching goal of correcting deficient conditions in a timely manner before a significant event or violation occurs. In recognition that this incident is of lesser security significance than the security event experienced in October 2006 by Bechtel Jacobs Company, LLC, the previous contractor responsible for ETTP, the Office of Enforcement

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and Oversight has elected to exercise enforcement discretion and not pursue further enforcement activity against UCOR at this time. In conjunction with the Office of Environmental Management, the Office of Enforcement and Oversight will continue to monitor UCOR's efforts to improve security performance.

This letter imposes no requirements on UCOR and no response is required. Should you have any questions, please contact me at (301) 903-2178, or have your staff contact Mr. Steven G. Crowe, Director, Office of Security Enforcement at (301) 903-0107.

Sincerely,

John S. Boulden III
Director
Office of Enforcement and Oversight
Office of Health, Safety and Security

cc: Larry Kelly, OR
Mark Holowczac, UCOR
Darrell Fujiyoshi, UCOR