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# Nanotechnology

## The Current State of Affairs

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**Emerging Nanotechnologies**  
at the Woodrow Wilson International Center for Scholars

**THE PEW CHARITABLE TRUSTS**



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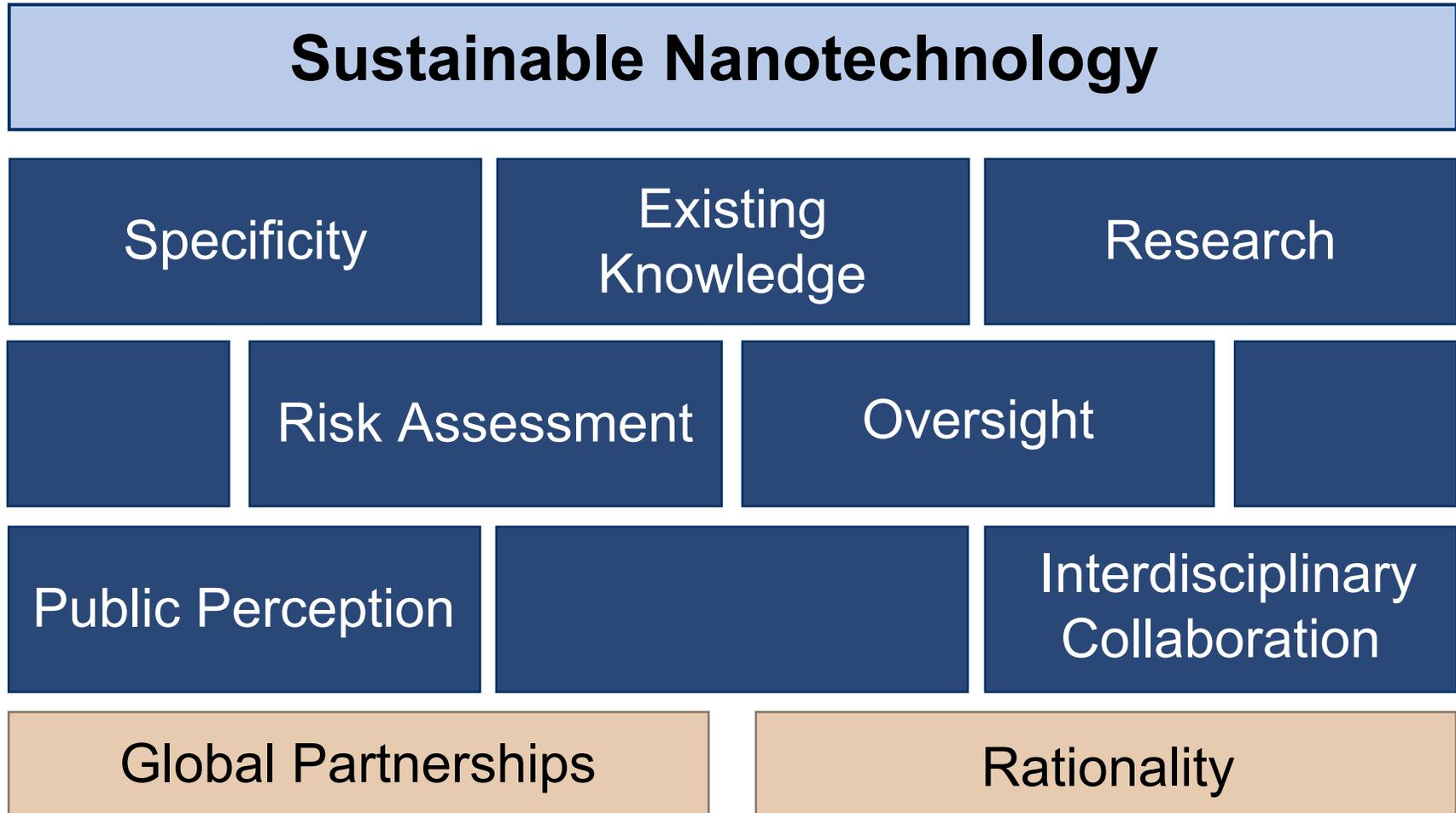


# Challenge

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- Nanotechnology has great potential
  - Revolutionary Technology
  - “Engine of Innovation”
  - Many societal and environmental benefits anticipated
  
- BUT..
  - There may be unanticipated roadblocks, including unexpected risk to human health and the environment
  
- Sustainable nanotechnology will depend on
  - Societal Acceptance
  - Minimizing risk
  - Maximizing benefits

# Working towards Sustainable Nanotechnology



# Working towards Sustainable Nanotechnology



## Sustainable Nanotechnology

Specificity



# Specificity

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- Being sure of what we're talking about
  - **Nanotechnology** - a way of doing things
  - **Nanotechnologies** - Specific applications of *nanotechnology*



- The nanotechnology dialogue must be specific to:
  - Materials, devices and products
  - Processes
  - Categories and magnitudes of potential risk

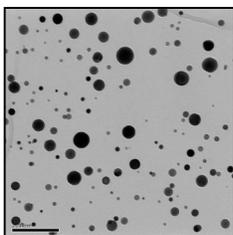
# Setting Boundaries

Engineered nanomaterials which potentially present new challenges



## ■ Criteria:

- Nanomaterials capable of entering or interacting with the body
- Nanomaterials which potentially exhibit nanostructure-dependent biological activity



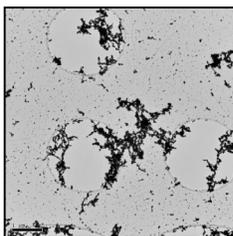
### **Nanoparticles**

Simple, complex, “smart”.  
Aerosols, powders,  
suspensions, slurries



### **Comminution**

Aerosols from grinding,  
cutting, machining  
nanomaterials



### **Agglomerates**

or aggregates of  
nanoparticles



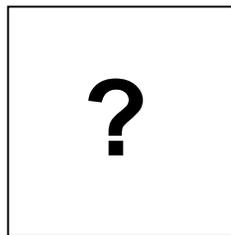
### **Degradation/Failure**

Aerosols and suspensions  
resulting from degradation  
and failure of nanomaterials



### **Aerosolized suspensions**

Including slurries and  
solutions of nanomaterials



### **Unintentional use**

Potential exposure from  
unanticipated/unintentional  
use

# Working towards Sustainable Nanotechnology



## Sustainable Nanotechnology

Specificity

Existing  
Knowledge



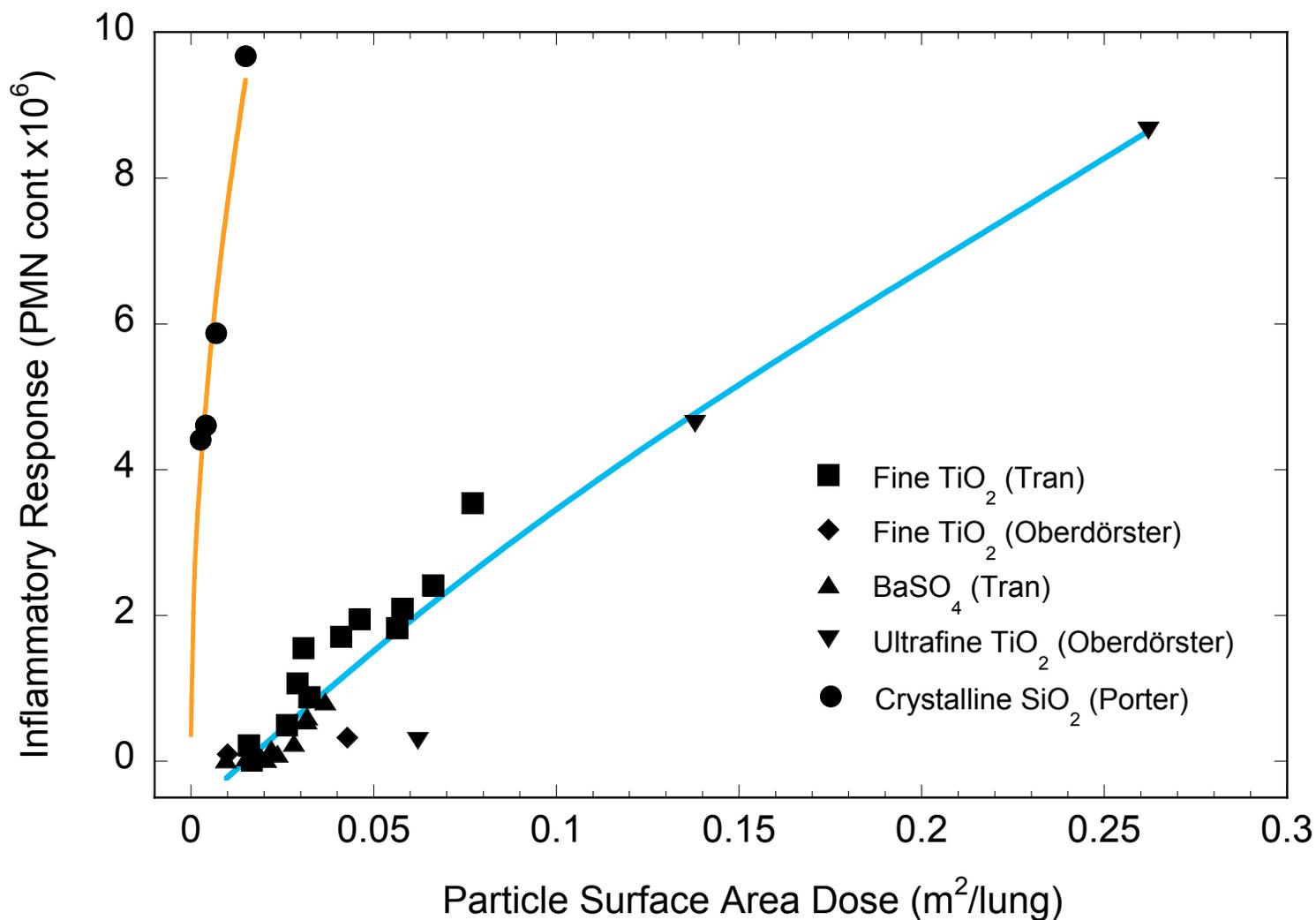
## Existing Knowledge

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- Nanotechnology is new, unique and innovative, but...
  - ...we have over 100 years of health-impact knowledge to draw on
- Similarity, analogy and first principles:
  - Aerosol behavior
  - Exposure control
  - Health effects - general
  - Hazard - ultrafines
  - Physicochemical significance - e.g. asbestos and crystalline silica
- Extrapolation can be dangerous, but used wisely it can provide strategic direction

# Lessons from “conventional” materials

Comparison of insoluble materials with different biological activities



Maynard and Kuempel (2005)

# Working towards Sustainable Nanotechnology



## Sustainable Nanotechnology

Specificity

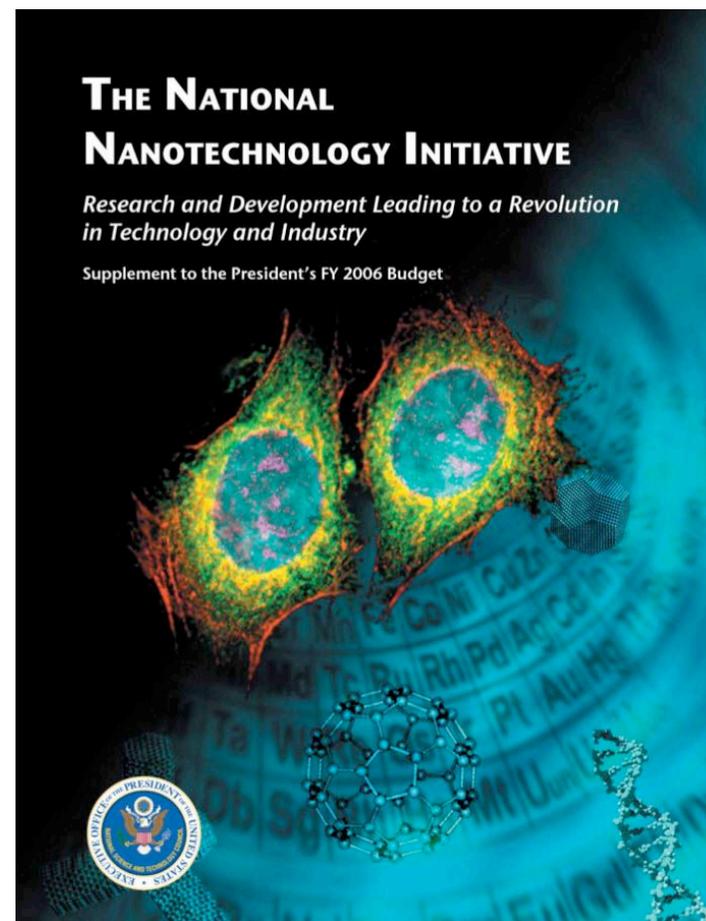
Existing  
Knowledge

Research

# Estimated 2006 US Government EH&S R&D Investment



	Environmental, Health, and Safety R&D (\$ million)
NSF	24.0
DOD	1.0
DOE	0.5
HHS(NIH)	3.0
DOC(NIST)	0.9
NASA	
USDA	0.5
EPA	4.0
HHS (NIOSH)	3.1
DOJ	1.5
DHS	
<b>TOTAL*</b>	<b>38.5</b>

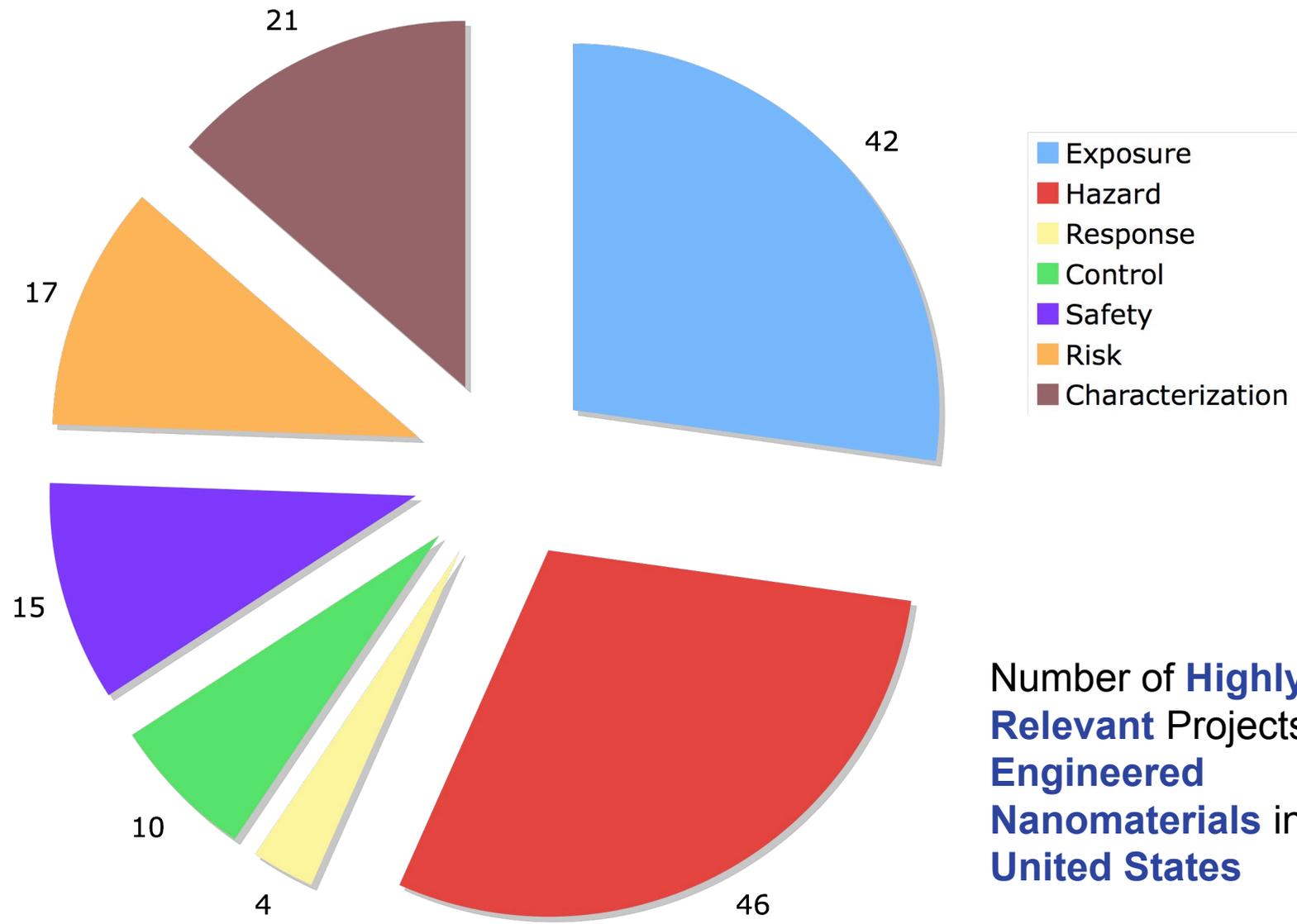


Source: NNI 2006 supplement to the President's FY2006 budget



# Inventory of Current Research

## ES&H Implications of Nanotechnology - Relevance of research



Number of **Highly Relevant** Projects on **Engineered Nanomaterials** in the **United States**

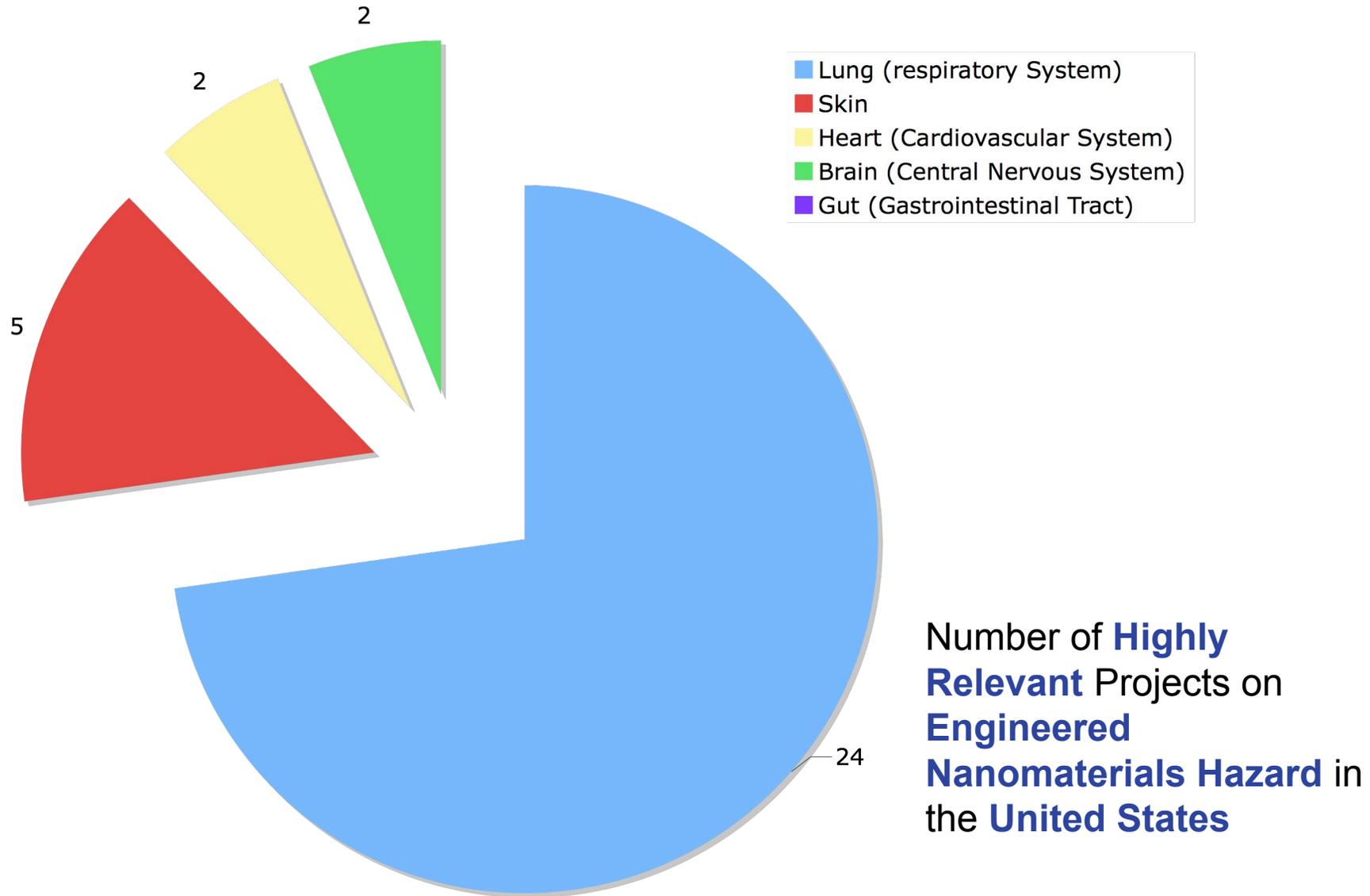
Analysis: November 23rd 2005

[www.nanotechproject.org](http://www.nanotechproject.org)



# Inventory of Current Research

## ES&H Implications of Nanotechnology - Human health impact



Analysis: November 23rd 2005

[www.nanotechproject.org](http://www.nanotechproject.org)



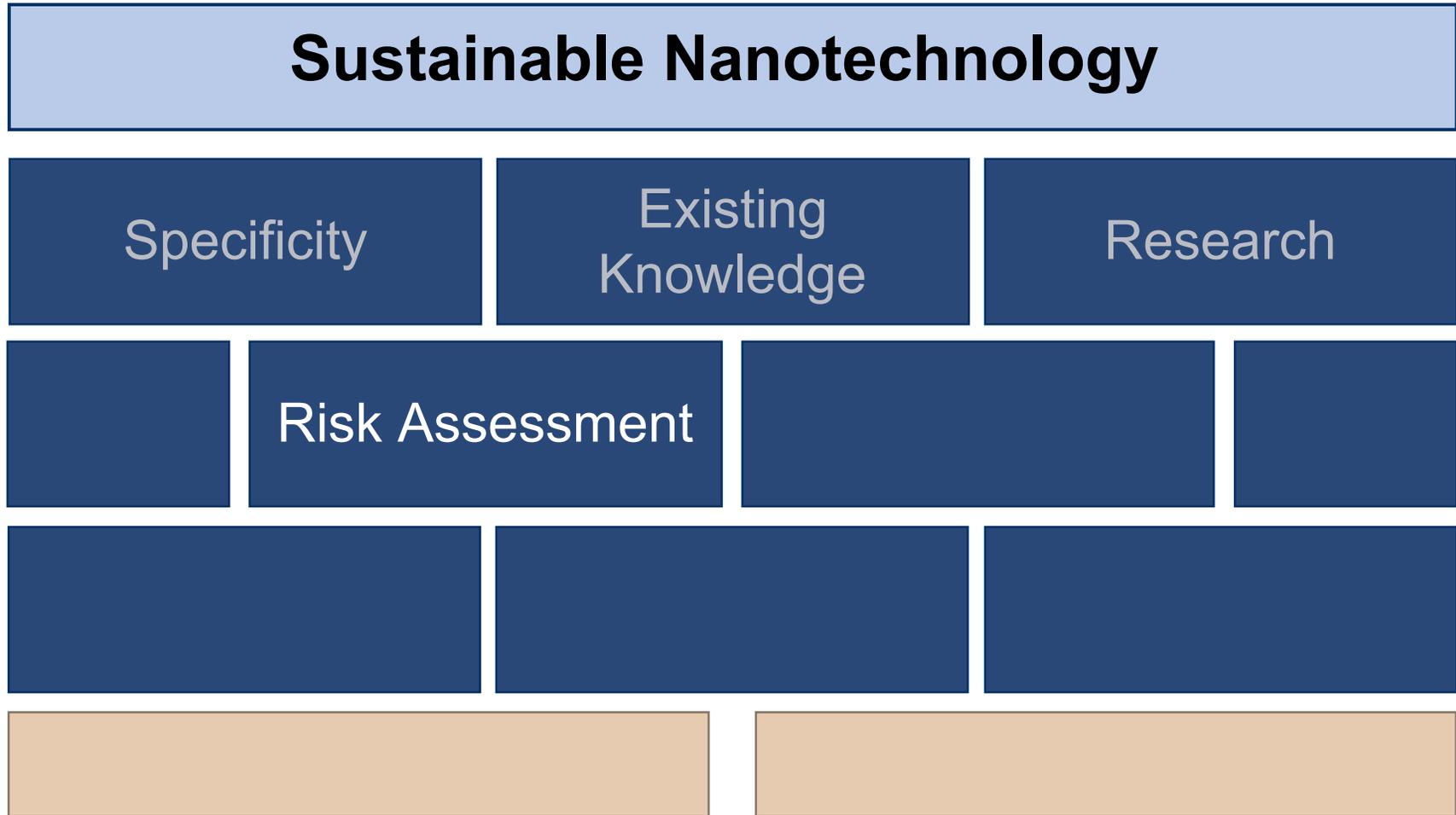
# Current Research

## General Trends

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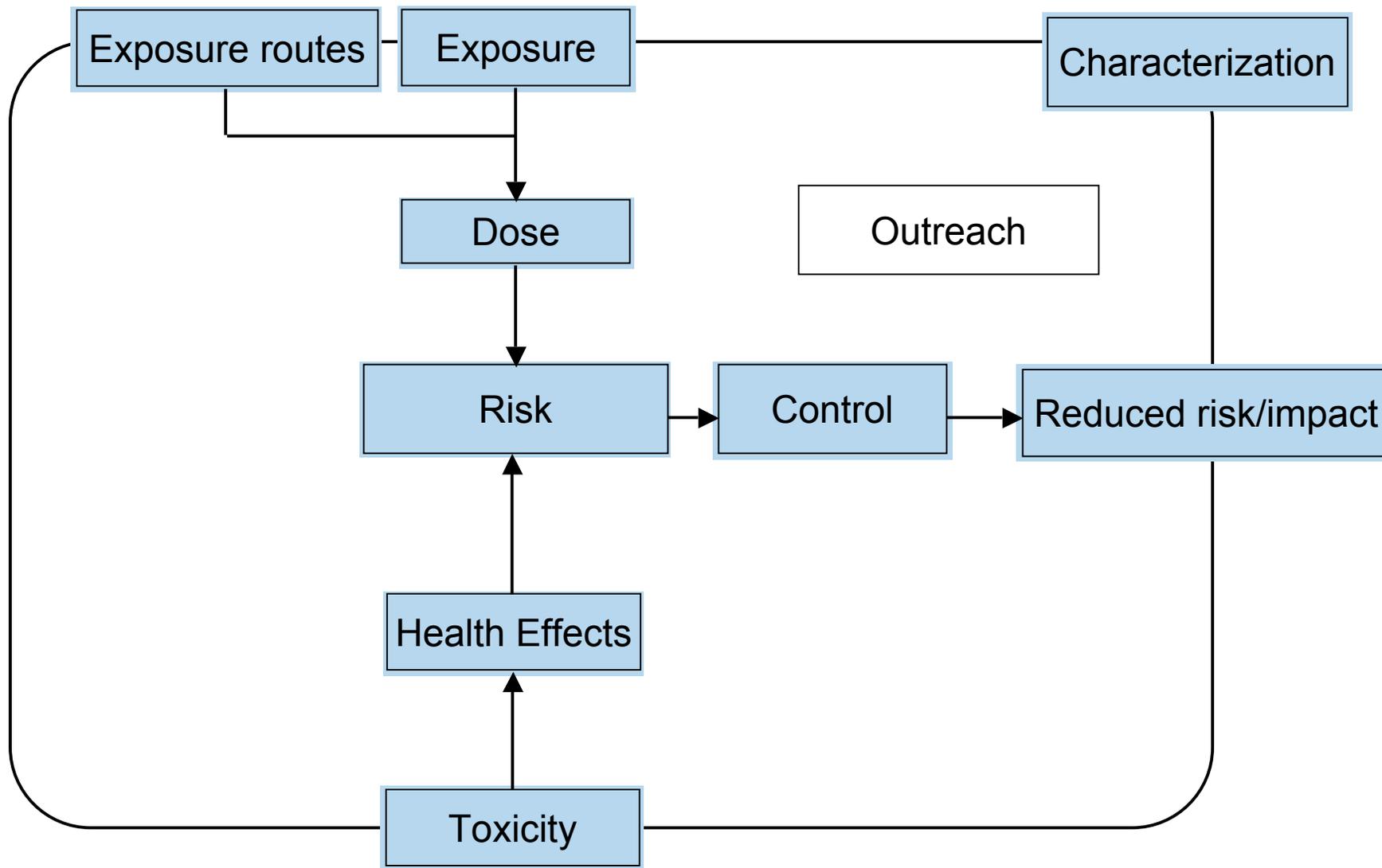
- Relatively strong pre-emptive response to potential impact of nanotechnology
- A lack of an overall strategy is apparent
  - Significant research gaps exist
  - Research within specific fields is largely driven by investigator interest and expertise, and not by critical information needs
- Funding levels are low
  - US Government funding for research highly relevant to engineered nanomaterials: \$6 million per year listed, between \$10 - \$15 million estimated (1% of the annual NNI R&D budget)
- It is questionable whether current research initiatives will provide answers to critical questions within a reasonable timeframe

# Working towards Sustainable Nanotechnology



# Risk Assessment

(Simplified schematic)





# Characterization

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- The properties of engineered nanomaterials depend on structure, as well as chemistry
- Risk can not be quantified and quantitatively reduced without an accurate description of the materials and products being used.
- The complexity of many nanomaterials demands sophisticated characterization - frequently beyond what is considered 'normal'

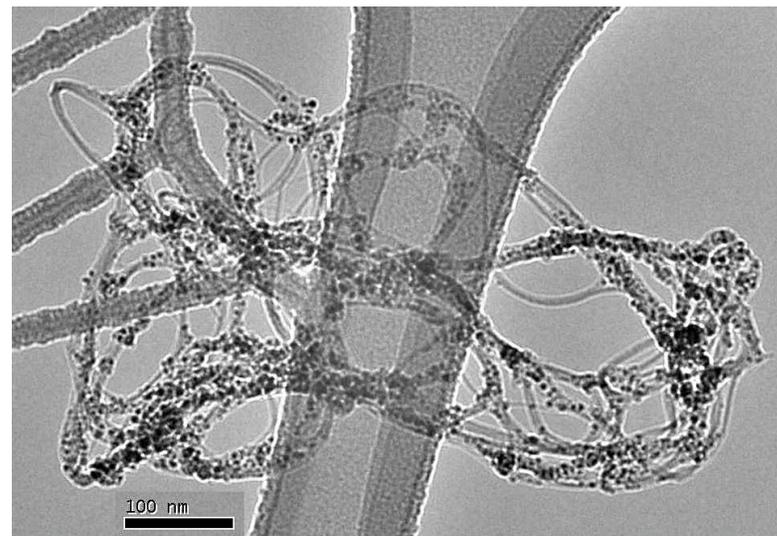
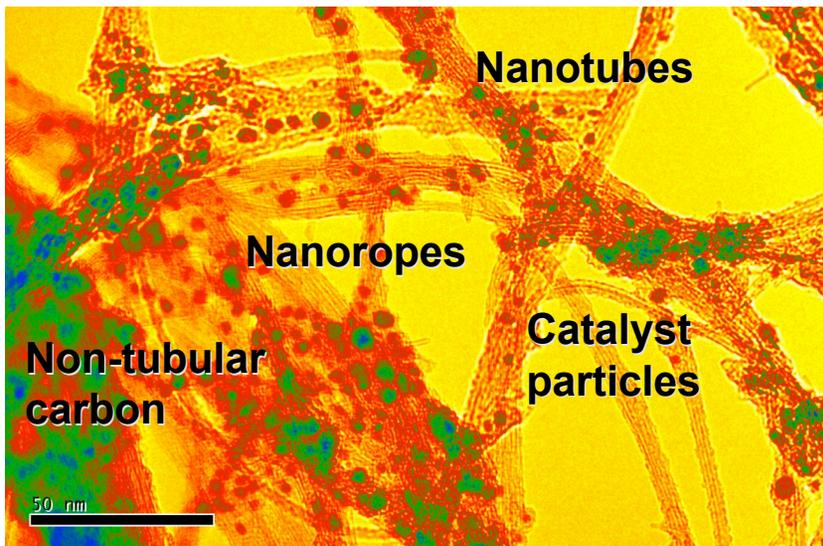
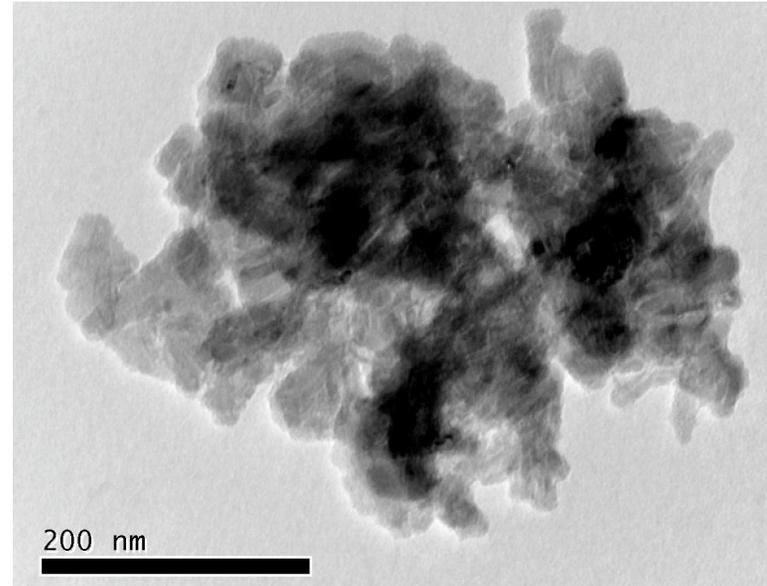
“The dependence of nanomaterial behavior on physical and chemical properties places stringent requirements on physicochemical characterization, and includes assessing a range of properties...”

Oberdörster et al. Particle and Fibre Toxicology 2005 2:8

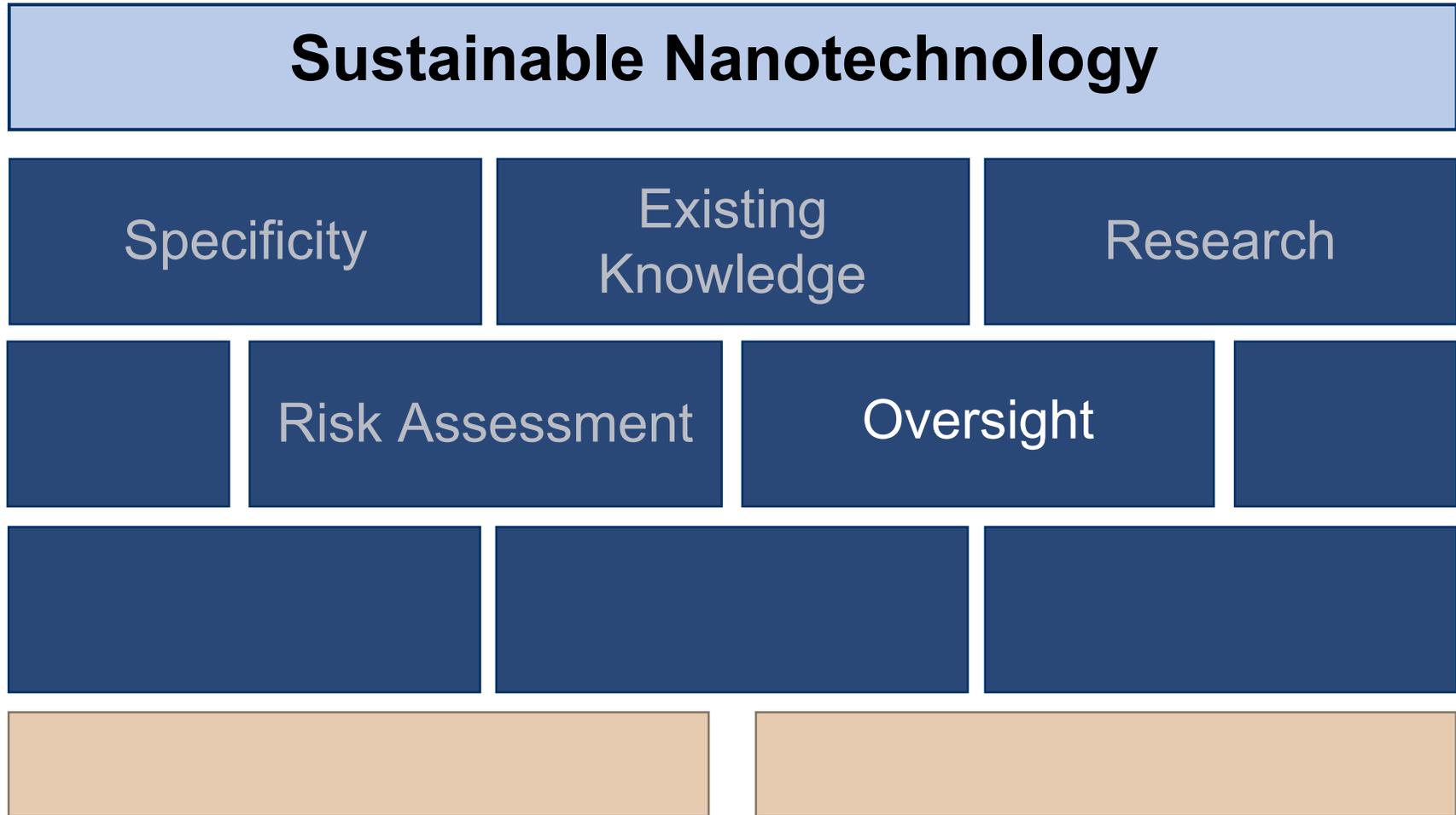


# Example

## Airborne Single Walled Carbon Nanotubes



# Working towards Sustainable Nanotechnology





# Oversight

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- Context
  - New challenges - are existing oversight frameworks robust enough?
  - “Nano” is in the marketplace now
  - Sustainable nanotechnology needs a viable oversight framework to:
    - Minimize risk
    - Clarify rules and responsibilities
    - Maintain public confidence
  
- Responses include:
  - New risk governance models
  - New risk assessment and management models
  - Best Practices
  - Voluntary Programs and Regulation

# Exposure Management

## Control banding - concept



### Parameters

Hazard Group

Dustiness

Amount Used

### Control Approach

1. General Ventilation
2. Engineering Control
3. Containment
4. Specialist Advice



Amount Used	Low Dustiness	Medium Dustiness	High Dustiness
<b>Hazard Group A</b>			
Small	1	1	1
Medium	1	1	2
Large	1	2	2
<b>Hazard Group B</b>			
Small	1	1	1
Medium	1	2	2
Large	1	3	3
<b>Hazard Group C</b>			
Small	1	1	2
Medium	2	3	3
Large	2	4	4
<b>Hazard Group D</b>			
Small	2	2	3
Medium	3	4	4
Large	3	4	4
<b>Hazard Group E</b>			
For all hazard group E substances, choose control approach 4			

[www.ilo.org](http://www.ilo.org)

# Exposure Management

Can Expert Control Banding be used?



		Exposure Index				
		A	B	C	D	E
Impact Index	A					
	B					
	C					
	D					
	E					

CONCEPTUAL

## Exposure Index

- 'Dustiness'
- Amount Used

## Impact Index

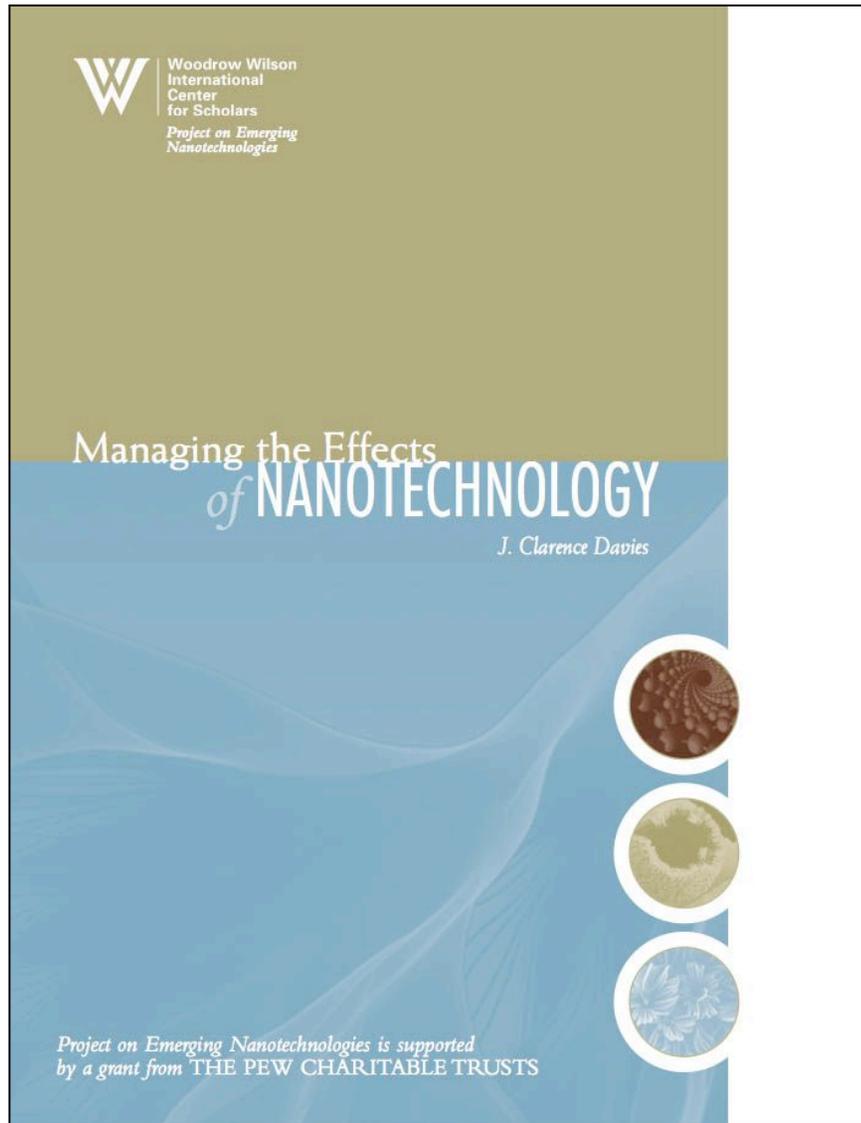
- Bulk hazard
- Surface Area
- Surface Activity
- Shape
- Size

## Control Approach

1. General Ventilation
2. Engineering Control
3. Containment
4. Specialist Advice

# Regulation and Nanotechnology

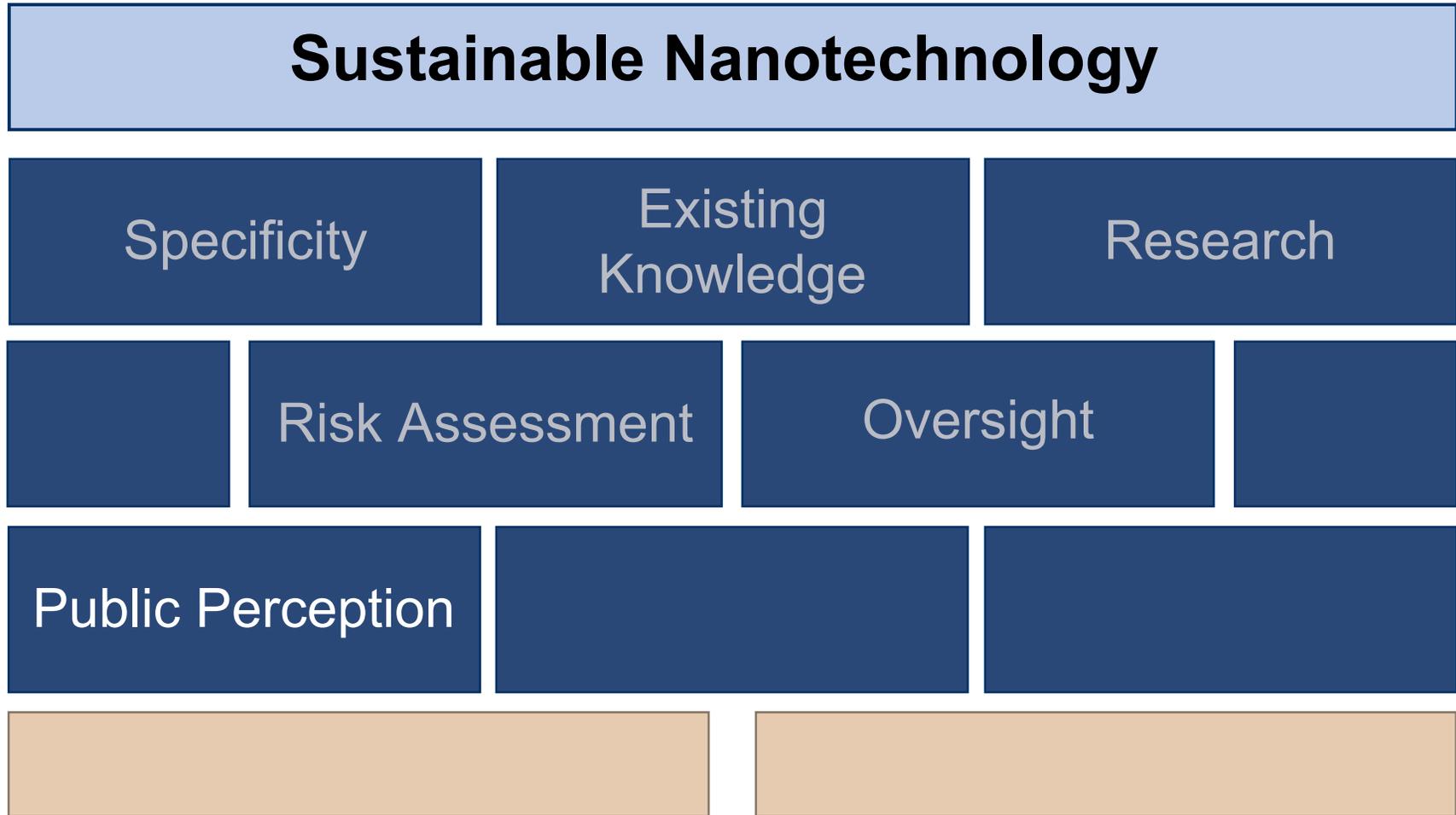
## Managing the Effects of Nanotechnology



- Context
  - A review of options currently available to provide government oversight of nanotechnology in the US
- Main findings:
  - Nanotechnology is difficult to address using existing regulations
  - A new law may be required to manage potential risks of nanotechnology
  - New mechanisms and institutional capabilities are needed

[www.nanotechproject.org](http://www.nanotechproject.org)

# Working towards Sustainable Nanotechnology



# Public Perceptions

Macoubrie, September 2005



The cover features a dark blue header with the Woodrow Wilson International Center for Scholars logo and name on the left, and 'THE PEW CHARITABLE TRUSTS' on the right. The main title 'Informed Public Perceptions of Nanotechnology and Trust in Government' is centered in white text on a light blue background. Below the title is a grey rectangular area. At the bottom, there is a blue banner with the text 'Project on Emerging Nanotechnologies at the Woodrow Wilson International Center for Scholars' and three circular icons representing different nanotechnology themes.

Woodrow Wilson International Center for Scholars

THE PEW CHARITABLE TRUSTS

Informed Public Perceptions of Nanotechnology and Trust in Government

Jane Macoubrie, Senior Advisor, Project on Emerging Nanotechnologies, Woodrow Wilson International Center for Scholars

Project on Emerging Nanotechnologies  
at the Woodrow Wilson International Center for Scholars

- 80 - 85% of public has heard “little” or “nothing” about nanotechnology
- Perceived benefits outweigh risks
- Top perceived potential benefits include:
  - Disease detection and treatment
  - Environmental remediation
  - National Security
  - Improved human abilities
  - Cheaper, longer lasting consumer products
- Top concerns include:
  - Military uses
  - Long term health effects
  - Environmental impacts
  - Loss of freedom and privacy
- Low trust in both government and industry to manage risk

From: Macoubrie, J. “Nanotechnology: Public Concerns, Reasoning, and Trust in Government”

# Nano-consumer products

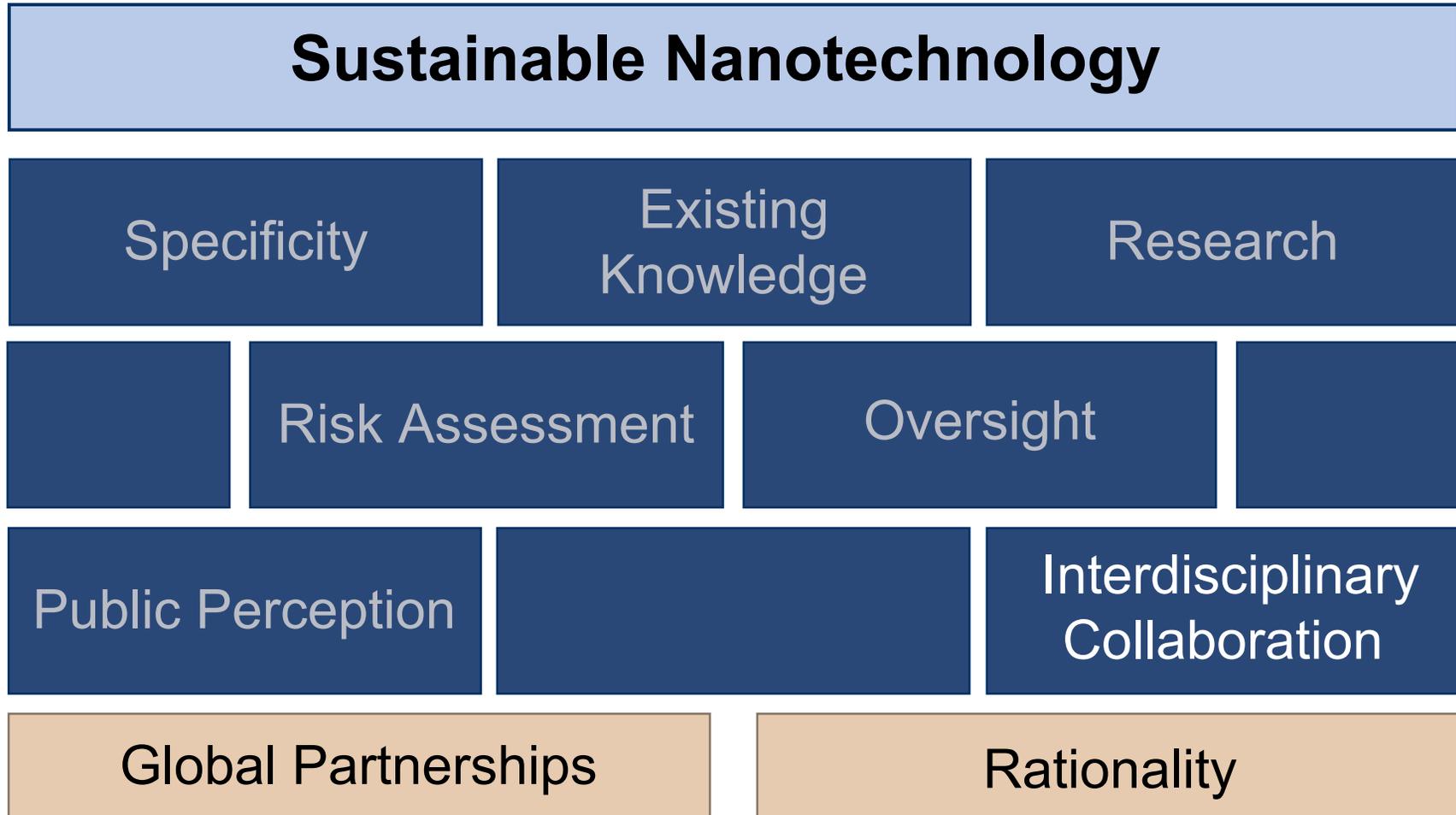


[www.nanotechproject.org/consumerproducts](http://www.nanotechproject.org/consumerproducts)



Over 200 products are commercially available worldwide  
(based on manufacturer-identification as “nano”)

# Working towards Sustainable Nanotechnology



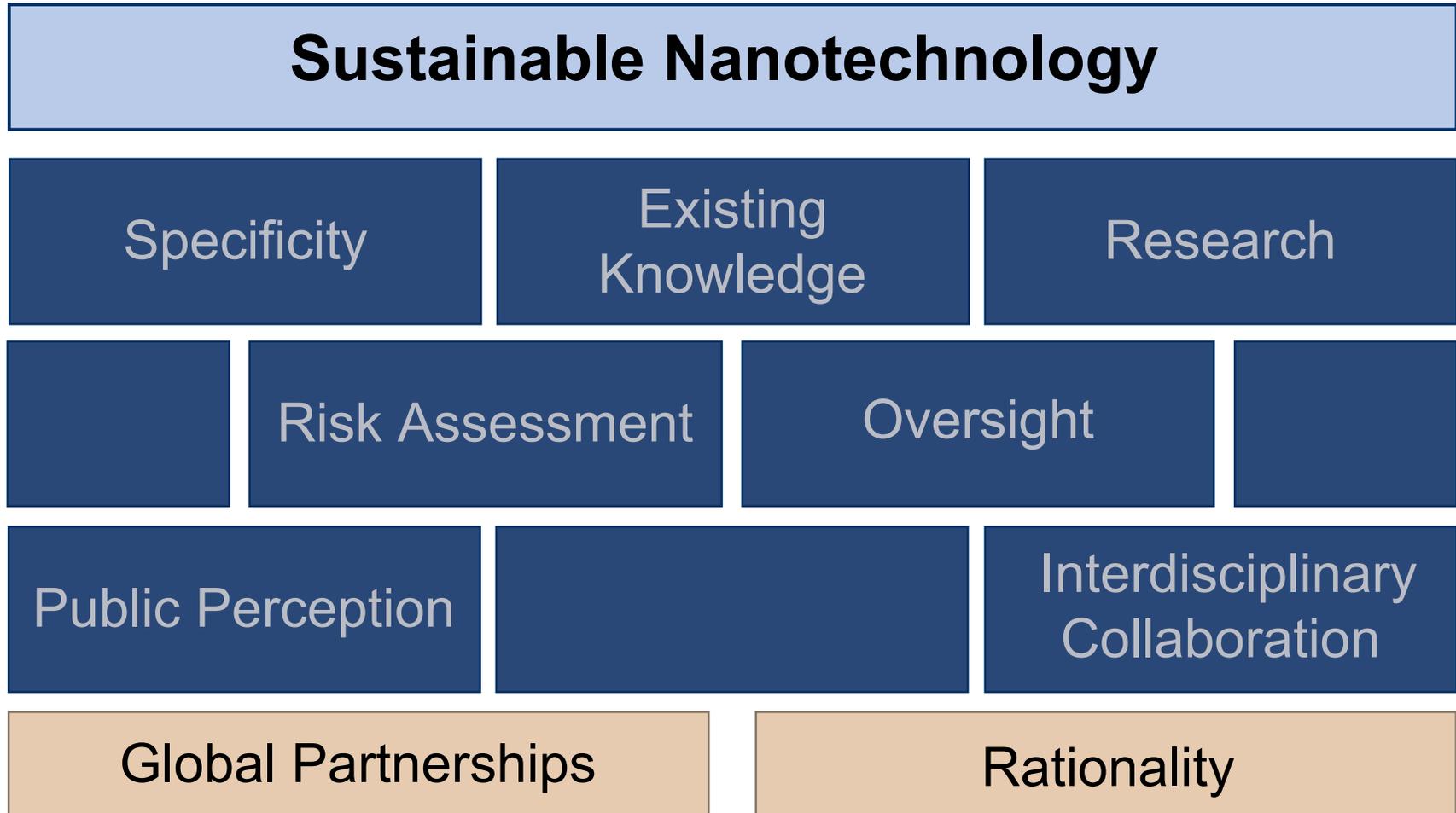


## Interdisciplinary Collaboration

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- Major scientific breakthroughs occur in the grey regions between established disciplines and fields of research
- Nanotechnology is no exception
- Understanding implications to human health will also require working together in [sometimes] uncharted waters between disciplines

# Working towards Sustainable Nanotechnology





## Global Collaboration

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- Global implications require global cooperation and collaboration
- Complex problems require coordinated approaches to finding solutions
- Resources are limited
- Synergism



# Rationality

## Cutting through the hype

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- Nanotechnology is revolutionary...  
*...but we've been through technology revolutions before*
- Nanomaterials have unique properties...  
*...but the body responds to foreign materials in limited ways*
- Little is known about the health impact of engineered nanomaterials...  
*...but we're not starting out from a point of total ignorance*

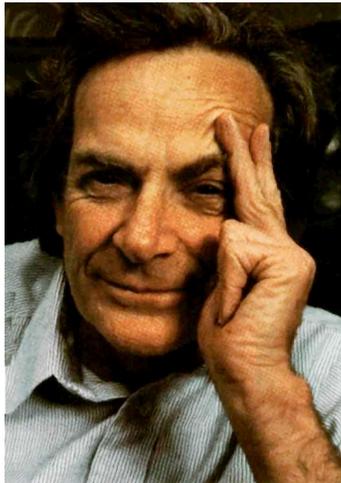
Beyond the hype and confusion, sustainable nanotechnology will ultimately depend on scientifically valid questions being asked, and scientifically robust answers being found.



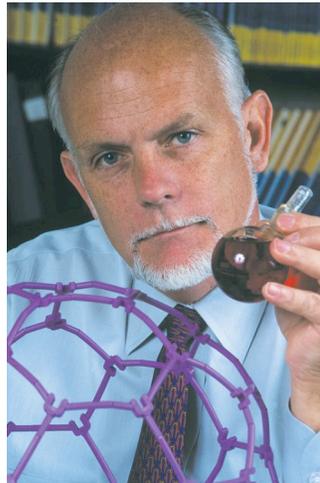
# A final thought...

## The Promise of Nanotechnology

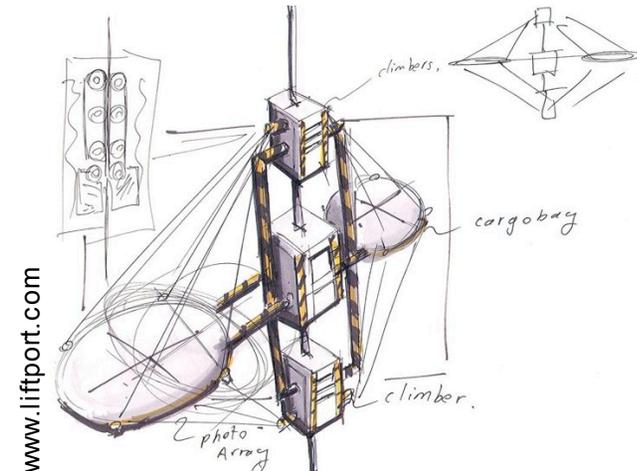
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Richard Feynman  
1919 - 1988



Richard Smalley  
1943 - 1985



The First Space Elevator  
20??

- Nanotechnology has huge potential
- Let's make sure we get things right now, so that potential is realized